

# Evidence integration in model-based tree search

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**Research on the dynamics of reward-based, goal-directed decision making has largely focused on simple choice, where participants decide among a set of unitary, mutually exclusive options. Recent work suggests that the deliberation process underlying simple choice can be understood in terms of evidence integration: Noisy evidence in favor of each option accrues over time, until the evidence in favor of one option is significantly greater than the rest. However, real-life decisions often involve not one, but several steps of action, requiring a consideration of cumulative rewards and a sensitivity to recursive decision structure. We present results from two experiments that leveraged techniques previously applied to simple choice to shed light on the deliberation process underlying multistep choice. We interpret the results from these experiments in terms of a new computational model, which extends the evidence accumulation perspective to multiple steps of action.**

reward-based decision making | drift-diffusion model | reinforcement learning

Imagine a customer standing at the counter in an ice cream shop, deliberating among the available flavors. Such a scenario exemplifies “simple choice,” a decision situation in which the objective is to select among a set of individual, immediate outcomes, each carrying a different reward. Simple choice, in this sense, has provided a convenient focus for a great deal of work in behavioral economics and decision neuroscience (1–5). However, it would be an obvious mistake to treat it as an exhaustive model of reward-based decision making. The decisions that arise in everyday life are of course often more complicated. One important difference, among others, is that everyday decisions tend to involve sequences of actions and outcomes.

As an illustration, let us return to the ice cream customer, picturing him at a point slightly earlier in the day, exiting his home in quest of something sweet. Upon reaching the sidewalk, he faces a decision between heading left toward the ice cream shop, or heading right toward a frozen yogurt shop. If he wishes to fully evaluate the relative appeal of these two options, he must answer a second set of questions: Which flavor would he choose in each shop? Furthermore, it may be relevant for him to consider more immediate consequences of the left–right decision. For example, the leftward path might pass by a bank, allowing him to deposit a check along his way, whereas the rightward path might lead by the post office, giving him the opportunity to mail a package.

Rather than selecting among individual and immediate outcomes, the decision maker in this scenario finds himself at the root of a decision tree (Fig. 1A), with nodes corresponding to value-laden outcomes or states, and edges corresponding to choice-induced state transitions. Deciding among immediate actions, even at the first branch point, requires a consideration of all of the paths that unfold below. Decision making thus assumes the form of reward-based tree search (6–10).

Note that decision making in this setting cannot be reduced to a collection of independent simple-choice problems. In particular, deciding between first-stage outcomes (bank vs. post office) may backfire if one fails to consider the later choices to which they lead; one must consider total rather than piecewise reward. Furthermore, to choose among immediate actions, one must do more than merely consider later decisions. One must actually make those

decisions, because the expected value of immediate behavior depends on plans for subsequent action. For example, the post office route may be preferable to the bank route if the anticipated food choices are vanilla ice cream and strawberry yogurt, but this might reverse if the choices are vanilla ice cream and mango yogurt (Fig. 1A). Thus, unlike simple choice, reward-based tree search entails both cumulative and recursive structure.

The problem of reward-based tree search has long provided a central focus for work in control theory, operations research, artificial intelligence, and machine learning. Only more recently has it begun to receive due attention in psychology and neuroscience. In the most salient work along these lines, reward-based tree search has been conceptualized in terms provided by model-based reinforcement learning, a computational framework in which reward-based decisions are based on an explicit model of the choice problem, a “cognitive map” of the decision tree itself (11). Under this rubric, recent work has illuminated several aspects of reward-based tree search, providing an indication of how representations of decision problems are acquired and updated (12–14), where in the brain relevant quantities (e.g., cumulative rewards) are represented (15–17), and how model-based decision making interacts with simpler, habit-based choice mechanisms (15, 18–22).

Despite such advances, however, comparatively little progress has so far been made toward characterizing the concrete process by which model-based decisions are reached, that is, the actual procedure through which a representation of the decision problem is translated into a choice (9, 10, 23). This situation contrasts sharply with what one finds in the literature on simple choice, where a number of detailed process models have been proposed. Although important differences exist, current models of simple choice converge on a common evidence-integration paradigm (1–5, 24–26). Here, each choice option is associated with a specific utility, but this quantity can only be accessed through a noisy sampling

## Significance

Recent behavioral research has made rapid progress toward revealing the processes by which we make choices based on judgments of subjective value. A key insight has been that this process unfolds incrementally over time, as we gradually build up evidence in favor of a particular preference. Although the data for this “evidence-integration” model are compelling, they derive almost entirely from single-step choices: Would you like chocolate or vanilla ice cream? Decisions in everyday life are typically more complex. In particular, they generally involve choices between sequences of action, with accompanying series of outcomes. We present here results from two experiments, providing the first evidence to our knowledge that the standard integration model of choice can be directly extended to multistep decision making.

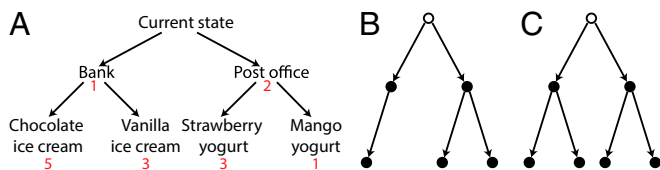
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**Fig. 1.** (A) Reward-based tree search entails both cumulative and recursive structure. Choosing between the first-stage outcomes in isolation (bank vs. post office) would lead to suboptimal behavior: Although the post office is more rewarding (2 vs. 1), the optimal path entails going through the bank to get to the chocolate ice cream (total reward of 6). However, choosing the bank is optimal only if chocolate ice cream is going to be selected in the ice cream shop, or vanilla ice cream is going to be selected in the ice cream shop and mango yogurt in the yogurt shop. If vanilla ice cream and strawberry yogurt are to be selected, the path through the post office is preferable. (B) Decision tree used in experiment 1. Each node is a state, and each arrow an action. Participants start in the state marked by an open circle. States marked by a closed circle contain reward. (C) Decision tree used in experiment 2.

procedure. This procedure involves collecting a series of samples for each choice option and integrating across them until the accumulated evidence in favor of one option is significantly greater than the rest. This evidence-integration framework, often implemented in the form of a drift-diffusion process, accounts for detailed behavioral data, both at the level of reaction times and choice probabilities (2–4).

In the present paper, we aim to extend these advances to the domain of reward-based tree search, by introducing and testing a process model of multistage decision making. Our specific proposal is that the evidence-integration framework that has been so successful in explaining simple choice can in fact be directly extended to reward-based tree search. We begin by sketching an evidence-integration model for sequential decision making. We then present results from two behavioral experiments that provide an empirical foundation for evaluating the proposed computational account. Leveraging the resulting reaction time and choice proportion data, we compare the proposed model with a range of variants and alternatives.

## Results

**Computational Model.** As in previous studies of decision dynamics, we aimed to develop a model that can simultaneously capture the pattern of choices and reaction times. Although the complexity of moving from one-stage to multistage choice seems formidable, one particularly simple solution is to treat the problem as a single-stage decision between the paths of the corresponding decision tree. Here, by “path” we mean a single trajectory down from the top of the tree to a terminal node at the bottom of the tree. Although it is simple, we will show that this model provides a better description of the data than a number of appealing alternatives.

More concretely, at the root of a decision tree, each path is treated as an independent competitor. The evidence for each begins at zero and on each iteration of the deliberation process grows in proportion to the sum of all of the single-stage rewards that can be accumulated along the path. As in models of simple choice (2–4), the reward information retrieved for each individual item is noisy, and multiple samples have to be collected to make a decision. A decision is made when the evidence in favor of one path is significantly greater than the evidence for all of the other paths [“best vs. next” (4, 27)].

Because competition is between paths, the model thus far makes the prediction that participants make a decision once at the root of the tree and then play out the entire sequence of chosen moves. To foreshadow the results, however, the data suggest that decisions are further revised at subsequent stages. As such, we amend the model to output each stage of the decision separately,

with surviving paths continuing to compete at the next stage until a higher evidence threshold is reached.

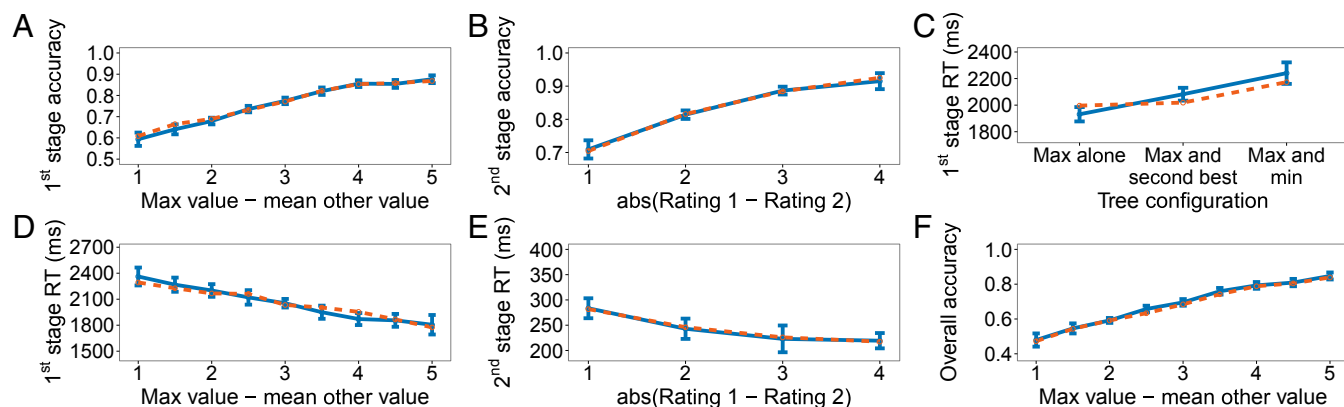
A formal description of the model can be found in *Supporting Information*. Presently, we describe two experiments that were designed to test the model.

**Experiment 1.** Our strategy in both experiments was to directly extend methods that have been successfully applied to simple choice. Participants started by rating a set of 270 items (board games, electronics, etc.) on a five-point scale (0–4 in experiment 1 and 1–5 in experiment 2). This was followed by a series of decision trials in which participants were asked to choose the items they would most prefer to receive given the structure of the decision problem. The structure used in experiment 1 is illustrated schematically in Fig. 1B and represents what is perhaps the simplest possible extension of one-stage binary choice to the multistage domain. Participants made either one or two binary (left/right) decisions on each trial. The first decision committed them to at least one item on the corresponding side of the screen. One side had a further left/right decision, allowing participants to select a second item from among two others. The other side had a forced left/right “decision” committing participants to a particular item. An example is shown in Fig. 2. Importantly, participants had access to the full structure of the problem, including reward information at both stages, when making their first-stage decision. The first-stage decision should thus, in principle, reflect the influence of all of this information.

Similar to studies of simple choice, the dependent variables that are of interest are choice accuracy (or consistency, that is, how often participants chose the higher rated items) and reaction time. However, the independent variable must be specified with care. The first impulse is to use the full structure of the decision tree (i.e., the conjunction of all item ratings). However, if one notes that it is possible for five different ratings to appear in each of the five positions, even when the symmetry of the branches at both stages is taken into account, this yields very few trials for each tree configuration. Work on simple binary choice has focused on using the difference between item ratings as the independent variable. This represents a measure of decision difficulty, with larger differences resulting in faster and more accurate decisions (2, 3). We derive a similar measure for our two-stage problem by collapsing across time and treating the problem as a comparison between the three pairs of items, as assumed in the process model proposed above. The value of each pair is taken to be the sum of the ratings in that pair. One measure of difficulty then, following work on multialternative simple choice (4), is the difference between the maximum value and the mean of the other two values. The solid lines in Fig. 3A and D plot choice accuracy and reaction time for the first-stage decision as a function of this measure. Accuracy increases and



**Fig. 2.** Example of a trial in experiment 1 (compare with Fig. 1B). Participants start with the screen on the far left and are faced with a left/right decision. If they select the right side, they would be committing to the mug, and would then have to input a second decision choosing between the tent and beach chair. The choice is confirmed on the last screen (in this case, the participant chose left, committing to the tent). If they choose left at the first stage, they would be committing to the office chair and would be forced to input left again to get the umbrella.



**Fig. 3.** Results of experiment 1. Empirical data appear in blue with solid lines and the winning model in orange with dashed lines. Bars represent within-subject confidence intervals (28). In the figure and in the following description, “value” refers to the sum of the ratings along one path of the decision tree. (A) First-stage choice accuracy as a function of the difference between the maximum value and average of the other two values. A trial is considered correct if the first-stage choice does not rule out the optimal path. (B) Second-stage choice accuracy as a function of the absolute difference between the ratings of the items remaining at the second stage. A trial is considered correct if the higher-rated item is selected. Only trials where a second-stage choice had to be made are included. (C) First-stage reaction time for correct trials. A trial is considered correct if the best overall path was selected. (D) Second-stage reaction time for correct trials. A trial is considered correct if the best overall path was selected. (E) First-stage reaction time for correct trials, as defined in C and D, as a function of the paths that appear together in the tree. For example, “Max and second best” means that the two paths with the two largest values were grouped on one side (pressing left or pressing right at the first stage, depending on the paths’ location, would leave both of them in play), and the smaller-valued path was on the other side by itself. (F) Overall choice accuracy, taking both stages into account.

reaction time decreases as decisions get easier, suggesting that the measure we adopted provides a valid index of decision difficulty.

We can look at choice accuracy and reaction time for the second-stage decision as a function of the difference in ratings for the remaining two items. They are plotted in Fig. 3 B and E, which resemble the pattern of results typically seen in simple binary choice. Although small, the reaction time effect is significant [ $F(3, 87) = 8.30, P < 6.44e-5$ ]. Importantly, the reaction time effect suggests that the second stage involves further deliberation and not simply playback of a motor plan prepared at the first stage. We further test this possibility below by comparing a number of one-stage deliberation models that can potentially mimic this effect with our primary model.

Fig. 3C provides a different look at first-stage reaction times, plotting them as a function of the internal organization of the decision tree. We distinguish between three configurations: (i) The most valuable path appears by itself on one side of the tree; (ii) the most valuable and the second most valuable paths appear on the same side of the tree, sharing the same first-stage choice; and (iii) the most valuable and least valuable paths appear on the same side. The differences in reaction times across these cases reflect differences in the distribution of decision difficulties for these three groupings of the data (Fig. S1). However, there may be an additional contributing factor: When most of the “mass” is on one side of the tree (e.g., when the most valuable and second most valuable paths lie on the same side), participants may complete their decision at the first stage before deciding what to do at the second stage. This window into the data serves as an additional constraint for model evaluation, as discussed below.

Finally, Fig. 3F plots overall choice accuracy, taking both stages of action into account.

**Experiment 2.** The second experiment was similar to the first, except that both sides of the decision tree had a second-stage decision (Fig. 1C). The goal was to replicate the first set of findings and modeling results with a new set of participants, while also testing the model’s ability to account for an additional path in the tree. The data are shown in Fig. 4, with results closely paralleling those shown in Fig. 3 for experiment 1. The second-stage reaction time effect is again significant [ $F(3, 87) = 30.77, P < 1.19e-13$ ].

**Model Evaluation.** A straightforward implementation of the proposed model provides tight fits to the data for both experiments (Figs. 3 and 4). It should be noted that although the figures display summary statistics, the model is simulating 1,304 different conditions (tree configurations) in experiment 1 and 2,043 different conditions in experiment 2. Best fitting model parameters are displayed in Table S1.

We used Bayesian model comparison to test the model against a number of alternatives and variants, which we briefly describe next. Details can be found in *Supporting Information*. Each model was fit separately to each experiment, with the resulting BIC (29) (Bayesian information criterion) values displayed in Table S2.

**Forward Greedy Search.** The presence of a reaction time effect at both stages leaves open the possibility that participants make decisions one stage at a time, rather than in parallel. Decisions seem informed in the aggregate because values and single-stage rewards are correlated (values are summed single-stage rewards). Although this seems unlikely given the very fast reaction times at stage two, we formally test this possibility. In particular, the first model assumes that decisions are made in a greedy fashion: Participants decide only between the top-level items during the first stage and then decide between the remaining two items during the second stage. The search is “greedy” in that the best decision is made at each stage without looking ahead.

**Backward Search.** Forward search is an example of a typical search strategy in classic artificial intelligence formulations of planning (30). Another strategy is backward search, which reasons backward from potential goal states to the current state. Unlike forward greedy search, this approach is optimal because it takes into account information at all levels: It starts by asking what is best at the end, and then reasons about the best way to get there.

Neither forward nor backward search provides a better fit than the primary model (Table S2).

**One-Stage Parallel Integration with Vigor.** Both response (31, 32) and movement (33, 34) vigor (the speeds at which participants initiate and carry out an action) are thought to be modulated by reward. The next set of models test the possibility that the second-stage reaction time effect can be explained based on these principles alone, without the need for continued deliberation.



## Discussion

In this paper we have provided an initial account of how the class of evidence-integration models, previously applied to data on simple choice, can be directly extended to multistage decision problems. In two experiments involving tree-structured decisions, we have shown that the dynamics of the deliberation process can be understood as integrating evidence in parallel, across time, with competition between the paths of a decision tree. The evidence-integration perspective links multistage reward-based decision making to other cognitive domains where the same form of decision process has been implicated, including memory retrieval (36–38) and perceptual (26, 27, 39) and lexical (40) decision making. It may also help to guide hypotheses regarding neural mechanisms. Previous work (41) has begun to address implementation-level questions by building detailed biophysically plausible models of evidence integration. Our results suggest that such models may be directly translatable to the types of problems we study here.

The processes involved in our parallel integration model bear a striking resemblance to Monte Carlo tree search (42–45) (MCTS), a set of planning algorithms that work by sampling trajectories and computing value functions and policies by averaging over the samples. In our model, the integrators represent the sum of noisy rewards (i.e., unnormalized mean values). However, the number of samples in MCTS is usually a free parameter. It would be of significant interest to integrate this body of work with a theory of reaction time.

Understanding this relationship would be especially welcome in the context of larger state spaces, where MCTS is usually applied. Many real-world problems are still more complicated than the ones we study here: They may have larger depth (more time steps) and breadth (more actions available at each time step) and may involve probabilistic, rather than deterministic, transitions. Although pruning proved unnecessary for the two-stage trees we studied, previous work has shown that human participants do in fact prune larger trees (9, 10) (however, this form of pruning is more reflexive than the deliberate style of pruning studied here; see refs. 9 and 10 for details). MCTS works by exploiting the sparsity inherent to many problems using a form of soft pruning: sampling randomly at first, and then slowly redirecting the effort to the most promising parts of the state space. This perspective may provide insight into how people make decisions in large state spaces.

A complementary approach to dealing with large state spaces is to take advantage of the hierarchy present in many complex problems. In particular, it would be of interest to integrate ideas from hierarchical reinforcement learning, which have recently been applied to human decision making (10, 46–50), with the evidence accumulation framework.

Another parallel that warrants exploration is the relationship to Bayesian accounts of decision making. We previously suggested (23) that the brain may solve the model-based reinforcement learning problem by treating it as a Bayesian inference problem (51). The components of the model (i.e., the transition and reward function) are encoded together with the policies in a joint probability distribution, and decision making amounts to computing the posterior over the policy variables conditional on (maximizing) the reward. We used the framework to explain a number of qualitative behavioral and neural findings from the literature. The goal of the present work was to begin a more detailed quantitative study of these issues, and we opted instead for an approach that more closely resembles what has previously been done in the context of simple choice. However, the Bayesian approach is not necessarily far removed from the model proposed here. As detailed by Solway and Botvinick (23), the evolving posterior over policy variables can be understood as integrating reward information over time. Future work will need to more formally address this potential parallel.

An important aspect our model does not consider is that of attention. Previous work has shown that visual fixations can bias

the evidence-integration process in simple choice, promoting the currently fixated option at the expense of the others (3, 4, 52). Integrating eye tracking with our model would open the door for interesting new predictions. For example, fixating on a top-level item should promote both of the paths in which it participates. This would lead to neglect of the alternative side of the tree but provide no advantage for disambiguating the paths in which the item is embedded. In contrast, fixating on a second-level item should promote only a single path.

Incorporating internal fluctuations of attention and the dynamics of memory retrieval into the model is also an important long-term goal. This is perhaps even more pertinent in the context of multistage decision making, where the transition and reward structure are seldom visible (consider again planning a route). Recent work has begun to address this issue (13, 53).

Finally, the current work assumes that each node in the decision tree is independent of the rest. This is not true of many real-world problems, where the same state may appear in multiple paths, or be visited multiple times within a single path. Future research will need to resolve how such contingencies complicate (or simplify) the decision problem.

## Materials and Methods

**Participants.** Thirty different participants completed each experiment in its entirety. A few participants were dismissed after the item-rating phase. For more information on participant inclusion criteria, see [Supporting Information](#). Participants were compensated either 12 dollars per hour or with course credit. All experimental procedures were approved by the institutional review board of Princeton University.

**Task.** Experiments were programmed in MATLAB (MathWorks, Inc.) using the Psychophysics Toolbox (54). Each experiment consisted of two parts. The first part was nearly identical for both experiments and involved rating a set of 270 items, including electronics, clothing, books, nonperishable foods, kitchen items, jewelry, and various novelties. Participants were first shown pictures of all of the items they would later encounter and were then asked to rate each item within the context of all of the other items on the list. A scale of 0–4 was used in experiment 1 and a scale of 1–5 was used in experiment 2.

The second part of each experiment consisted of a series of decision trials. The structure of the decision problem differed slightly between experiments (Fig. 1 *B* and *C*), but the order of events within each trial remained the same. Each trial started with a 500-ms fixation cross, followed by a self-paced decision phase (Fig. 2), and ended with a 750-ms “feedback” phase where only the selected items remained on the screen. The intertrial interval was 500 ms with a 0- to 250-ms jitter.

Experiment 1 consisted of a two-stage decision. One item appeared on the top left of the screen, and another appeared on the top right. Below each of these items, either one or two additional items appeared in a horizontal orientation (Fig. 2). Two items appeared on the bottom left and one on the bottom right in a random half of the trials, and the other half of the trials had the reverse orientation. Participants made up to two left/right decisions on each trial. The first committed them to the top item on the corresponding side, and to possibly making a second decision between the two bottom items on that side. If participants chose the side with a single item on the bottom, they were forced to select it by pressing the corresponding keyboard key. The item was offset slightly to the left or to the right of the one above it, as if a second item was next to it. The same two left/right keyboard keys were used as input for both stages. Experiment 2 was similar to experiment 1 except that both sides of the screen had a second-stage decision.

Participants did not actually receive any of the items at the end of the experiment, nor did they receive any kind of performance bonus for selecting items that were rated higher during the first phase. Instead, they were told to simply choose the items they would most prefer to receive as if they would actually get them after each trial.

Trials with first-stage reaction times faster than 500 ms, or first or second-stage reaction times slower than 10 s, were discarded from analysis both in the data and in the model.

**Model Fitting.** Model predictions were obtained through simulation. Models were fit using differential evolution (55), as implemented in the DEoptim (56) package in R (57). Each generation consisted of 10 times the number of members as parameters in the model, and the search procedure was stopped

when the value of the objective function remained unchanged for 100 generations. Because differential evolution has an element of stochasticity, each model was fit 10 times, and the best fit was used. Each trial in the data was simulated once for each parameter set. The objective function consisted of the residual sum of squares of the group psychometric curves in Figs. 3 A–E and 4 A–E (overall choice accuracy, shown in Figs. 3F and 4F, was not explicitly fit). First-stage reaction times were divided by 10,000 and second-stage reaction times by 1,000 to put them on more equal footing with the accuracy data.

BIC values were computed as follows:

$$BIC = k \ln(n) + n \ln(RSS/n). \quad [1]$$

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